

DR 1046 Revision of ROI Market Assurance Documentation			Priority Medium
Harmonisation Status	CLOSED - Jurisdictional Specific		CR Status Approved
			Status Date 23/02/2011
Date	Version	Reason For Change	Version Status
16/02/2011	v1.0	Initial version issued to HWG	Final
PART 1 DETAIL OF DISCUSSION REQUEST/MARKET CHANGE REQUEST:			
Requesting Organisation(s)	Gemserv	Originating Jurisdiction	RoI
Contact name	Orla Lemass		
Date Request Raised	10/02/2011	Originating Request	
Detail of Request			
Reason for Request			
<p>The ROI Market Assurance Programme has been operating for several years now and the supporting strategy and processes are in need of revision at this time.</p> <p>This change proposes a review and revision of the full ROI Retail Market Assurance library and associated work aids to:</p> <ul style="list-style-type: none"> - provide improved general usability / readability (for all market participants but especially prospective or newly-qualified ones) - to make a number of specific updates to reflect changes in strategy and/or processes since the introduction of the assurance programme. 			
Proposed Solution			
<p>In general, the review will include all of the current assurance library content with the dual aims as outlined above. Specifically, the two objectives will be achieved as follows:</p> <p>1. General Usability and Readability Update</p> <ul style="list-style-type: none"> • Update the Assurance Strategy through removal of all non-strategy related items e.g. description of assurance techniques. Removed material will be included in process documentation. • All market participant forms to be removed from the Assurance Strategy and Process Documents and consolidated into a single document. • Production of a high level document which summarises market assurance in a more simplified form. If required, this document will be supported by various scenario guides e.g. an existing supplier wishes to enter a new market segment. • Consolidate existing assurance process documents (Assurance Process, Re-qualification, and Market Design Change) into a single document. It is proposed that a new checklist per assurance segment type will be included within this document and will detail the steps and necessary paperwork (forms and evidence) required for each. • Make any other consequential, non-material changes to the assurance documents that arise during the course of the review. <p>2. Specific Technical Updates</p> <p>2.1 Post Implementation Review Post Implementation Reviews have been carried out for the Market Design Releases. However this activity is not currently captured in the Market Assurance Strategy. It is proposed therefore to include a Post Implementation Review section to outline the scope of the reviews and follow-on reporting.</p> <p>2.2 Inactive entrant re-qualification There have been instances where suppliers have gained market accreditation but have not commenced their live operations over a significant period of time and after a number of market design releases.</p> <p>To ensure that a new supplier has a business model that continues to remain fit for purpose and compliant with the market design, it is proposed a re-qualification trigger for a new entrant is defined and added to the Market Assurance Strategy. The criteria could be set as either event driven or an elapsed time period.</p> <p>2.3 Small Supplier Market Entry Requirements The Market Assurance Strategy is required to be updated and aligned with the actual depth of review that is undertaken for a Small Supplier. The current wording of the process is not explicit enough to describe the detail of assessment that is undertaken, ensuring that the entrant's expectations are set appropriately.</p> <p>2.4 Self-Supplier Market Entry Requirements The Market Assurance Strategy describing the Self-Supplier Entry process requires an update to align the current depth of review that is currently undertaken. It is proposed that a Self-Supplier is required to formally declare the MPRN's that they wish to supply, ensuring that the MPRNs can be cross-checked to be applicable in the Self-Supplier market segment.</p> <p>2.5 Data Transfer Testing Since market opening, Data Transfer Testing has not been executed in its originally-intended form, that is, by the exchange of all currently-defined market messages. It is proposed that this technique is removed from the Market Assurance Strategy and associated assurance process documentation to align with current practice.</p> <p>The connectivity checks shall still remain as part of the IPT execution phase, ensuring appropriate configuration of the MPCC hub.</p> <p>2.6 Balance of IPT Test Scenarios The balance of the New Entrant IPT scenario types needs to be adjusted to better reflect the importance and frequency of the core business processes for such entrants.</p>			

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Classification of Request								
Harmonisation Impacting				Harmonisation Baseline Version No.				
NI Specific		RoI Specific	X	Operational		Date of Transfer from HWG		
Scope of Request								
Design Documentation	Business Process	Market & MP Systems	Market Gateway (Hub)	MPCC/ EMMA	Schema	Web Forms	Extranet	
Harmonisation Baseline Documents impacted by Request								
Market Messages:	No impact							
Data Definitions:	No impact							
Data Codes:	No impact							
Value Lists:	No impact							
RoI MM Guide:	No impact							
NI MM Guide:	No impact							
Harm. Impact (RoI):	No impact							
Change Note (NI):	No impact							
Comment:								
Jurisdictional Market Design Baseline Documents impacted by Request								
Market Process Diagrams (MPDs):	No impact							
Guidance Documentation	No impact							
Briefing Documents:	No impact							
User and Technical Documents:	No impact							
Comment:	This revision will impact on all ROI assurance documentation and associated templates / forms / work aids. Specifically: 1. Assurance Strategy for the Irish Retail Electricity Market (Version: 1.0, Status: Approved, Issue date: November 2006) 2. Irish Retail Electricity Market: Market Design Change Assurance Process (Version: 1.0, Status: Approved, Issue date: May 2007) 3. Irish Retail Electricity Market: Re-Qualification for Existing Participants(Version 1.0, Status: Approved, Issue date: May 2007) 4. Irish Retail Electricity Market: Market Entry Assurance (Version 1.0, Status: Approved, Issue date: Jan 2008) 5. Irish Retail Electricity Market Participant Questionnaire (Version 1.0, Status: Approved, Issue Date: March 2009).							
PART 2 MARKET ASSURANCE:								
Applicability		DSO	Suppliers	TSO	SEMO	Generators		
Scope of Test		Business Solution Assessment	Integration Test Assessment	Data Transfer Testing	IPT	Other (specify)		
PART 3 RECOMMENDATION								
Recommended by		SIG		IGG		HWG		
ACCEPTANCE (Date)	REJECTION (Date)	NO RECOMMENDATION	COMMENT					
Reason for Recommendation								

[ADDITIONAL INFORMATION \(ARIS diagrams, tables of values, etc.\)](#)